Commission Structure of New Fund Offer

UTI Balanced Advantage Fund

NFO Period: 21st Jul 2023 to 4th Aug 2023

Date of Allotment: 10th Aug'23 | Reopening Date: 17th Aug'23

Exit Load: Redemption/ switch out within 12 months from the date of allotment

i) up to 10% of the allotted units – Nil

ii) beyond 10% of the allotted Units - 1.00 %

A. For Lump Sum Business

Business Mobilized	Perpetual Trail Commission	Additional Volume Based Trail (1st Year)	Total Trail Commission (1st Year)
Up to 9.99 lacs	1.45 %	-	1.45 %
₹ 10 lacs to ₹ 24.99 lacs	1.45 %	0.05 %	1.50 %
₹ 25 lacs and more	1.45 %	0.10 %	1.55 %

B. For SIP Business*

Number of New SIPs	OR	New SIP Inflow	Perpetual Trail Commission	Additional Perpetual Trail till SIP continues*	Total Trail Commission (Till SIP Continues)
Up to 9	OR	Up to ₹19,999	1.45 %	-	1.45 %
10 to 24	OR	₹20,000 to ₹49,999	1.45 %	0.05 %	1.50 %
Above 25	OR	Above ₹ 50,000	1.45 %	0.10 %	1.55 %

Terms & Conditions:

- **The above structure is valid from 21st July 2023 to 4th August 2023** and is inclusive of GST (as applicable). The rates applicable on sales after reopening of the scheme will be communicated separately.
- **The commission rates may be revised, in case there is a significant deviation from the estimated collection.**
- *** Additional trail on SIPs will be payable if it fulfils the criteria mentioned in the UTI Perpetual Prosperity SIP Campaign.** The base perpetual trail would apply on all the instalments of SIPs/STPs mobilised during the NFO period.
- In case of switch transaction from any Equity or Hybrid Scheme (Excluding UTI Arbitrage Fund), the distributor shall be eligible only for 1.10% Trail Commission irrespective of any amount. Additional volume based trail / Perpetual prosperity campaign will not be applicable.
- Commission will be paid on net amount (i.e., Cheque amount – Transaction Charges-Stamp duty as applicable) only.
- MFD to adhere to code of conduct issued by AMFI/SEBI. The payment of commission will be governed by SEBI guidelines issued from time to time.
- UTI AMC may change the rates/ periodicity etc. of commission /trail commission in case of change in regulation / expense ratio and any other factors which have an impact on such payments.
- In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Please ensure compliance.

For AMFI/NISM Certified UTI MF Empanelled Mutual Fund Distributors (MFDs) Only.